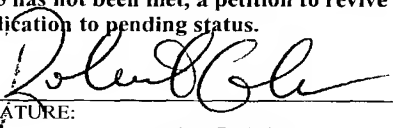


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DATE: December 3, 2001

FORM PTO 1390 (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER SONYJP-162	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.55) 10/009076	
INTERNATIONAL APPLICATION NO. PCT/JP01/02866		INTERNATIONAL FILING DATES 02 April 2001		PRIORITY DATE CLAIMED 06 April 2000	
TITLE OF INVENTION INFORMATION PROCESSING SYSTEM AND METHOD					
APPLICANT(S) FOR DO/EO/US Ryuji Ishiguro, Yoshitomo Osawa, Tateo Oishi, Tomoyuki Asano, and Atsushi Mitsuzawa					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information					
<p>1 <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371</p> <p>2 <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing 35 U.S.C. 371</p> <p>3 <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4 <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</p> <p>5 <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))</p> <p>a <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6 <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).</p> <p>a <input checked="" type="checkbox"/> is attached hereto.</p> <p>b <input type="checkbox"/> has been previously submitted under 35 U S C 154(d)(4).</p> <p>7 <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))</p> <p>a <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau)</p> <p>b <input checked="" type="checkbox"/> have been communicated by the International Bureau.</p> <p>c <input type="checkbox"/> have not been made, however, the time limit for making such amendments has NOT expired.</p> <p>d <input type="checkbox"/> have not been made and will not be made.</p> <p>8 <input checked="" type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9 <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U S C. 371 (c)(4)). (Unexecuted)</p> <p>10 <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11 <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12 <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13 <input type="checkbox"/> A <b>FIRST</b> preliminary amendment</p> <p>14 <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>15 <input type="checkbox"/> A substitute specification</p> <p>16 <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17 <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18 <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19 <input checked="" type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20 <input checked="" type="checkbox"/> Other items or information. Copy of face page of International Application as published, Copy of PCT Request (in Japanese), Copy of Letter to WIPO re: Article 19 Amendment, Twenty-Three (23) Sheets of Formal Drawings</p>					

JC10 Rec'd PCT/PTO 03 DEC 2001

U.S. APPLICATION NO (if known, see 37 CFR 1.52) <b>10/009076</b>		INTERNATIONAL APPLICATION NO PCT/JP01/02866		ATTORNEY'S DOCKET NUMBER SONYJP-162	
17. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b> PTO USE ONLY	
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)):</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . . . \$1040.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . . . \$890.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . \$740.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . \$710.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) . . . \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 890.00	
Surcharge of \$ _____ for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e))				\$	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims	* 33-20 =	13	x 18.00	\$ 234.00	
Independent claims	8-3 =	5	x 84.00	\$ 420.00	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1,544.00	
<input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				\$ 1,544.00	
Processing fee of \$ _____ for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). +				\$	
<b>TOTAL NATIONAL FEE =</b>				\$ 1,544.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31) ( _____ per property) +				\$	
<b>TOTAL FEES ENCLOSED =</b>				\$ 1,544.00	
*Including additional Article 19 Amendment claims				<b>Amount to be Refunded:</b>	\$
				<b>Charged:</b>	\$
a <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed b <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>12-1095</u> in the amount of \$ <u>1,544.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed. c <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to my Deposit Account No. <u>12-1095</u> . A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO Robert B. Cohen LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP 600 South Avenue West Westfield, New Jersey 07090 (908) 518-6316					
			SIGNATURE:  Robert B. Cohen NAME 32,768 REGISTRATION NUMBER		